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Technology Center 2100

8042-1008

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

IKUO SAKAGUCHI

Confirmation No. 1577

Serial No. 09/838,185

Group 2165

Filed April 20, 2001

CARD UTILIZATION APPROVAL METHOD, CARD SETTLEMENT SYSTEM AND
CARD AUTHENTICATION AND SETTLEMENT PROCESSING DEVICE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with Rules 1.97 and 1.98, and in fulfillment of the duty of disclosure under Rule 1.56, the accompanying documents, copies of which are attached to this statement, are made of record on the enclosed sheet.

A concise explanation of the relevance of these items is that these references were cited by the Chinese Patent Office in the corresponding Chinese Application Serial No. 01117191.X. A copy of the Chinese Official Action in which they were cited is attached hereto. An English translation of the Chinese Official Action is also attached hereto.

Under the provisions of 37 CFR 1.97(e), the undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a

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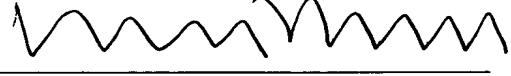
GROUP 3600

counterpart foreign application not more than three months prior to the filing of this Statement.

Respectfully submitted,

YOUNG & THOMPSON

By



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September 29, 2003

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use several sheets if necessary)

37 CFR 1.98(b)

ATTY. DOCKET NO.
8042-1008

SERIAL NO.
09/838,185

APPLICANT
Ikuo SAKAGUCHI

FILING DATE
April 20, 2001

GROUP
2165

SEP 29 2003

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						RECEIVED
	AB						SEP 30 2003
	AC						
	AD						Technology Center 2100

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NO.	PUBL. DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANSLATION YES NO
	AI	1228666A	09/99	CN			
	AJ	00/02168	01/00	WO			
	AK						
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

AT	
AU	
AV	
AX	
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

Form Y&T (3/94)

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